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"WM Mercury on myDB"

From: Iain Macara
Sent: 17 September 2018 14:12
To: Tanushree Kirti <[REDACTED]>; Clifford Fourie <[REDACTED]>
Cc: Oliver Bettin <[REDACTED]>; Christopher Whyton <[REDACTED]>; Katherine Stead <[REDACTED]>; Max Kleinert <[REDACTED]>; Victoria Bruce <[REDACTED]>
Subject: RE: Family Office Initiative - Follow Up [I]

Hi all,

Just to add there was the additional step of Stefan/Louise approval we discussed for clients that WM wanted ICG to provide support for.

These clients won't be green in ICG by virtue of having WM ACOs only so we need to get Stefan/Louise comfortable if WM are looking for ICG to provide any sort of coverage on WM's behalf. Otherwise of course we'll end up with multiple unexplained red list breaches etc.

Tanushree – do we have a comprehensive list of clients yet that are red for ICG but for which WM will need ICG support?

Thanks

From: Tanushree Kirti
Sent: 17 September 2018 13:55
To: Clifford Fourie <[REDACTED]>
Cc: Oliver Bettin <[REDACTED]>; Christopher Whyton <[REDACTED]>; Katherine Stead <[REDACTED]>; Max Kleinert <[REDACTED]>; Iain Macara <[REDACTED]>; Victoria Bruce <[REDACTED]>
Subject: RE: Family Office Initiative - Follow Up [I]

Classification: **For internal use only**

Hi Clifford,

The WM list of clients that require ICG coverage was discussed with Iain Macara and team and it was agreed that WM will review the red and amber clients to ensure they were centrally in CIB turned to green before acceptance by Iain's team. We have been working with the CIB central client selection team to turn those cases to green as they require different set of approvals.

In the meantime, we have a new KCP COO in WM – Victoria Bruce (cc'd), who will address the longer term solution of getting direct access for KCP clients.

Best,
Tanu