

From: Ian Salters

Sent: Monday, February 25, 2019 4:00 PM

To: Brian Serino <[REDACTED]>; Karen Johnson <[REDACTED]>

Cc: Bill King <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Nina Tona <[REDACTED]>; Ian Salters <[REDACTED]>

Subject: KYC remediation [1]

Classification: **For internal use only**

Brian/Karen - As previously discussed, the priority list is less than I thought, please see attached clients that need to be onboarded with CIB as a priority:

Bill - can you please take the lead on the ISG clients (this ties into the 32 clients you previously advised of):

Nina / Davide – can you please work together on the IWP clients (understand that Southern Financial is going away):

A) Wealth Management

WM Area	WM Client Name	GM Client Name	WM Contact/ PM Updated	Has the client been through the CIB KYC onboarding process? YES/NO	PRIORITY YES / NO	Customer Number
ISG	140-154 W 72 Realty LLC	140-154 W 72 REALTY LLC	Joanne Jensen	NO	YES	0000435168
ISG	198-202 Elizabeth Street LLC	198-202 & 204-210 ELIZABETH STREET LLC	Joanne Jensen	NO	YES	0000479333
ISG	239-243-247 W 21 Realty LLC	239-243-247 W 21 REALTY LLC	Joanne Jensen	NO	YES	0000479331
ISG	250 E 63 REALTY LLC	250 E 63 REALTY LLC	Joanne Jensen	NO	YES	0000526222
ISG	BioDuro LLC	BioDuro LLC	Susan Moffat	NO	YES	0000565222
ISG	DAVID TODD STEVENS	DAVID TODD STEVENS	Brian Convey	NO	YES	0000476299
ISG	Elysee of Brickell LLC	Elysee of Brickell LLC	Rachel Maglicic	NO	YES	0000418208
ISG	Granite 1717 Hotel LLC	GRANITE 1717 HOTEL LLC	Joseph Sebbagh	NO	YES	0000499774
ISG	Internet Resources Management, Inc	Internet Resources Management, Inc	GAT	NO	YES	0000423089
ISG	MIC2 Holdings LLC	MIC2 Holdings LLC	Freddy Menjivar	NO	YES	0000508747
ISG	STEVEN J KASSIN & ISAAC S FRANCO JOINTLY & SE	STEVEN J KASSIN & ISAAC S FRANCO JOINTLY & SE	Rosemary Vrablic	NO	YES	0000479967
ISG	Sunset and Doherty Property, LLC	SUNSET & DOHERTY PROPERTY LLC	Deirdre Judge	NO	YES	0000436527

B) IWP

WM Area	WM Client Name	GM Client Name	WM Contact/ PM Updated	Has the client been through the CIB KYC onboarding process? YES/NO	PRIORITY YES / NO	Customer Number
IWP	Daniel and Suzanne Cohen	Daniel and Suzanne Cohen	Joseph Cothron/Dan Gra	NO	YES	[REDACTED]
IWP	Belford Investments	Belford Investments	Mauricio Pretelt	NO	YES	0000465810
IWP	Euro-Partners Fund	Euro-Partners Fund	Chris Maxmin	NO	YES	00005405462
IWP	GUY WYSER PRATTE	GUY WYSER PRATTE	Chris Maxmin	NO	YES	0000406452
IWP	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL LLC	Stewart Oldfield	NO	YES	0000483682
IWP	The Lamia Ventures Corporation	The Lamia Ventures Corporation	Mauricio Pretelt	NO	YES	0000559996
IWP	Vanguardia Inversiones SAS	Vanguardia Inversiones SAS	Dcarla Oliviera/Eduardo	NO	YES	0000491482

Kind regards,
Ian Salters



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