From: Zack Bunimovich ████████████████████
Date: Friday, Mar 30, 2018, 11:26
To: Liam Osullivan <████████████████>, Nina Tona ████████████████ Xavier Avila ████████████████
Martin Zeman <████████████████, Rita Shteynberg
Cc: Mathew Negus ████████████████ Alastair Mackinlay ████████████████, Davide-A Sferrazza
████████████████, Anthony Lentini <████████████
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Classification: **For internal use only**

Hi Liam,

Thanks for this. We are good to go from a KYC perspective. The team is just working on the operational/downstream pieces now.

Thanks,
Zack

From: Liam Osullivan
Sent: Friday, March 30, 2018 10:32 AM
To: Zack Bunimovich <████████████████>; Nina Tona <████████████>; Xavier Avila
████████████████>; Martin Zeman <martin.zeman@db.com>; Rita Shteynberg <████████████
Cc: Mathew Negus <████████████████>; Alastair Mackinlay ████████████████; Davide-A Sferrazza
████████████████; Anthony Lentini <████████████
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Zack,

Richard is on the board of Southern Trust Co. Southern Trust Co. is the sole member of Southern Financial LLC. The first page of the attached lays out the relationship between Southern Trust Co. and Southern Financial LLC. The 16th page named Richard as member of the board of Sourhern Trust Co.

Thanks

Liam

Sent with BlackBerry Work
(www.blackberry.com)

From: Zack Bunimovich ████████████████████
Date: Friday, Mar 30, 2018, 9:13 AM
To: Nina Tona <████████████, Xavier Avila <████████████████, Martin Zeman <████████████████>, Rita
Shteynberg ████████████████
Cc: Liam Osullivan <████████████████>, Mathew Negus <████████████████, Alastair Mackinlay
████████████████, Davide-A Sferrazza ████████████████>, Anthony Lentini
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Classification: **For internal use only**

Hi Nina,