

[REDACTED] Davide-A Sferrazza <[REDACTED]>; Anthony Lentini

**Subject:** RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C]

Zack/Nina, what is the latest on SF KYC/Extension to Credit Derivs? Thanks

Xavier Avila  
Managing Director  
Deutsche Bank Securities Inc

---

**From:** Martin Zeman <[REDACTED]>  
**Date:** Thursday, Mar 15, 2018, 13:08  
**To:** Zack Bunimovich <[REDACTED]>, Rita Shteynber <[REDACTED]>, Jitan Patel <[REDACTED]>, Nina Tona <[REDACTED]>  
**Cc:** Xavier Avila <[REDACTED]>, Liam Osullivan <[REDACTED]>, Mathew Negus <[REDACTED]>, Alastair Mackinlay <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>, Anthony Lentini <[REDACTED]>  
**Subject:** RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C]

Classification: **Confidential**

Zack – thanks, please keep the foot on the pedal.

Also, adding Nina Tona who I forgot to include on last email.

---

**From:** Zack Bunimovich  
**Sent:** Thursday, March 15, 2018 12:29 PM  
**To:** Martin Zeman <[REDACTED]>; Rita Shteynberg <[REDACTED]>; Jitan Patel <[REDACTED]>  
**Cc:** Xavier Avila <[REDACTED]>, Liam Osullivan <[REDACTED]>, Mathew Negus <[REDACTED]>, Alastair Mackinlay <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>, Anthony Lentini <[REDACTED]>  
**Subject:** RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C]

Classification: **Confidential**

Classification: **Confidential**

Hi Martin,

I'm verifying with the KYC team that the provided documents and the information below fulfills all of the requirements. We have this account set as one of the highest priorities in our pipeline, so operational setups will be focused on as soon as this piece is settled.

Thanks,  
Zack

---

**From:** Martin Zeman  
**Sent:** Wednesday, March 14, 2018 5:09 PM