

To: Zack Bunimovich [REDACTED]; Rita Shteynberg <[REDACTED]>; Jitan Patel [REDACTED]
Cc: Xavier Avila <[REDACTED]>; Liam Osullivan <[REDACTED]>; Mathew Negus [REDACTED]; Alastair Mackinlay <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Anthony Lentini <[REDACTED]>
Subject: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C]
Importance: High

Classification: **Confidential**

Zack,

See attached for what Nina Tona sent in the last email in this chain and I am adding the Formation Document. This should complete everything you asked for in your email from March 13.

We have a pending trade with the client in credit derivatives next week. Can you please put this as a priority?

Source of Wealth:

The source of wealth is Jeffrey Epstein as the sole shareholder. Jeffery Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands, which merged into Southern Financial LLC. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms.

Client Contact:

Richard Kahn
[REDACTED]

Formation Document:

Attached LLC Documents include the Articles of Organization,

Thanks,
Martin

From: Nina Tona
Sent: Tuesday, March 13, 2018 11:41 AM
To: Zack Bunimovich [REDACTED]; Martin Zeman <[REDACTED]>; Xavier Avila [REDACTED]
Cc: Rita Shteynberg <[REDACTED]>; Mathew Negus <[REDACTED]>; Jitan Patel [REDACTED]; Alastair Mackinlay <[REDACTED]>; Davide-A Sferrazza [REDACTED]; Anthony Lentini <[REDACTED]>
Subject: RE: SF [I] [C]

Classification: **Confidential**

Zack,

I believe some of the below was already provided back in Feb. Please review below and attached to make sure they are sufficient and we'll get back on the additional.