

Thank you

Nina Tona

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**From:** Davide-A Sferrazza

**Sent:** Tuesday, January 16, 2018 6:35 PM

**To:** Nina Tona <[REDACTED]> Liam Osullivan <[REDACTED]> Xavier Avila <[REDACTED]>

Martin Zeman <[REDACTED]>

**Cc:** Alastair Mackinlay <[REDACTED]> Joshua Shoshan <[REDACTED]>

**Subject:** RE: Southern Financial LLC [I] [C]

Classification: **Confidential**

Removed Mat from chain –

I have some of this information from the KYC/account docs we have on file, but I will work with Stew Oldfield's team tomorrow to get the rest of this info and send all together.

Thanks,  
Davide

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**From:** Nina Tona

**Sent:** Tuesday, January 16, 2018 4:07 PM

**To:** Liam Osullivan <[REDACTED]> Xavier Avila <[REDACTED]> Martin Zeman <[REDACTED]>

**Cc:** Alastair Mackinlay <[REDACTED]> Mathew Negus <[REDACTED]> Joshua Shoshan <[REDACTED]>

<[REDACTED]> Davide-A Sferrazza <[REDACTED]>

**Subject:** RE: Southern Financial LLC [I] [C]

Classification: **Confidential**

Hi Martin and Liam,

Please also advise on the below.

- Organization Chart
- UBOs (Owners) that own greater than 10%
- The source of funds for the UBOs (owners) that are greater than 10%
- List of Legal representatives
- Annual report

Thank you

Nina Tona

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**From:** Liam Osullivan

**Sent:** Friday, December 15, 2017 5:27 PM

**To:** Xavier Avila <[REDACTED]> Nina Tona <[REDACTED]> Martin Zeman <[REDACTED]>

**Cc:** Alastair Mackinlay <[REDACTED]> Mathew Negus <[REDACTED]> Joshua Shoshan <[REDACTED]>

<[REDACTED]> Davide-A Sferrazza <[REDACTED]>

**Subject:** RE: Southern Financial LLC [I] [C]