

To: Xavier Avila <[REDACTED]>; Iain Macara <[REDACTED]>; Rita Shteynberg <rita.shteynberg@db.com>; Jitan Patel <[REDACTED]>; Mathew Negus <[REDACTED]>; Jane Varley <[REDACTED]>
Cc: Nina Tona <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Martin Zeman <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
Subject: RE: SF [I]

Classification: **For internal use only**

Hi Xavier

The GB adoption location is Red across all business but this is CIB Green for the US adoption location – as one of the businesses has asked to retain this. @Jane please confirm which business asked to retain?

In these instances, the GM products will not be offboarded if another business has asked to retain and the KYC/ACO responsibility sits with that business.

However, please also be aware that this is a High Risk client which is KYC deficient and was last reviewed in 2015.

Please let me know if any other questions.

Kind regards,

<image002.png>

Monika Valantiejute
GM Project Mercury

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From: Xavier Avila
Sent: 02 March 2018 13:53
To: GM ACO <[REDACTED]>; Iain Macara <[REDACTED]>; Rita Shteynberg <[REDACTED]>; Jitan Patel <[REDACTED]>; Mathew Negus <[REDACTED]>
Cc: Nina Tona <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Martin Zeman <martin.zeman@db.com>; Davide-A Sferrazza <[REDACTED]>
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Thanks Monica, did we hear anything back? The client is asking questions and we have no clear answers or timeframe to give him. Thanks

Xavier Avila
Managing Director