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**From:** Richard Kahn [REDACTED]  
**Sent:** 4/19/2018 9:29:51 AM  
**To:** Martin Zeman [REDACTED]  
**CC:** Paul Barrett [REDACTED]; Liam Osullivan [REDACTED]; Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Stewart Oldfield [REDACTED]; Jeanne Brennan [REDACTED]; Darren Indyke [REDACTED]  
**Subject:** Re: Please fill out -> CRS + UK FATCA form [C]

Please correct DOB as follows:

Darren Indyke [REDACTED]  
Richard Kahn [REDACTED]

thank you

Richard Kahn  
HBRK Associates Inc  
[REDACTED]

On Apr 17, 2018, at 10:31 AM, Martin Zeman <[REDACTED]> wrote:

Classification: **Confidential**

Richard,

I am following up on my email from last week. We are extending Southern Financial LLC to be able to trade credit derivatives as well as equity derivatives. Would you please kindly fill out the attached form and return?

Also, would you please respond by confirming the date of birth for Darren and yourself as well as the nature of business for Southern Financial LLC:

- Date of Birth for the Legal Reps
  - o Darren K. Indyke [REDACTED]
  - o Richard Kahn [REDACTED]
- Clarification of the Nature of Business
  - o Private investment vehicle; allocates capital across various asset classes and trading strategies.

Thanks  
Martin