



Self Certification for Entity Clients

UK Automatic Exchange of Information and the OECD Common Reporting Standard (CRS)

Part 2 – Entity Certification

Part 2 (a) – Financial Institutions - FI

7. If this entity is a Financial Institution (FI), please select the FI's status from one of the options below.

- i. Depository Institution, Custodial Institution or Specified Insurance Company
- ii. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
In this case please complete the table in Appendix 1 "Controlling Persons"
- iii. Other Investment Entity

or

Part 2 (b) – Non Financial Entities - NFE

8. If the Entity is not a Financial Institution (i.e. a Non Financial Entity, NFE), please select the Entity's status from one of the options below.

- i. Active NFE – a corporation the stock of which is regularly traded on an established securities market or a related entity of such a corporation.

If you have ticked (i), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (i) is a Related Entity of:

- ii. Active NFE – Government Entity, Central Bank or International Organisation
- iii. Active NFE – other
- iv. Passive NFE
In this case please complete the table in Appendix 1 "Controlling Persons".

Part 3 – UK FATCA Classification

9. This Part is only to be completed where the Account Holder Entity is opening an account with a Deutsche Bank entity located in the UK, Crown Dependencies and Overseas Territories and where the Entity's classification differs from Part 2(a) or 2(b).

- i. Financial Institution
- ii. Active NFE
- iii. Passive NFE - *In this case please complete the table in Appendix 1 "Controlling Persons"*