
From: Nina Tona [REDACTED]
Sent: 2/14/2018 10:19:02 AM
To: Zack Bunimovich [REDACTED]
CC: Rita Shteynber [REDACTED] Davide-A Sferrazza [REDACTED]
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Classification: **For internal use only**

Hi Zack,

Please advise on the below.

Thank you

Nina Tona

From: Nina Tona
Sent: Tuesday, February 13, 2018 8:07 AM
To: Zack Bunimovich [REDACTED]
Cc: Rita Shteynberg [REDACTED]
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Classification: **For internal use only**

Hi Zack,

Thanks for your help.

Cash Fixed Income DVP/RVP	Cash Equities DVP/RVP	OTC Derivatives (Rates)	FX options	FX Cash	Commodities	SEO	Convertible Bonds	# Products onboarded
DB AG (LDN)	DESI	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DESI	DESI & DB AG (LDN)	8

Thank you

Nina Tona

From: Zack Bunimovich
Sent: Monday, February 12, 2018 6:09 PM
To: Nina Tona [REDACTED]
Cc: Rita Shteynberg [REDACTED]
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

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Hi Nina,

I will be case managing this request going forward, and will see that it is assigned with our Jax team asap. I see that some work has been done already by Amber, but the fund triggered a full KYC review – I'll find out if we need any extra documentation/information.

Can you also forward me your original request for what products the client is looking to trade?

Thanks,