

From: Liam Osullivan [REDACTED]
Sent: 9/21/2018 1:28:03 PM
To: Davide-A Sferrazza [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]
Importance: High

Classification: **Confidential**

I do not recognize the account code. Do you know what it is? Thanks

From: Stewart Oldfield
Sent: Friday, September 21, 2018 1:20 PM
To: Liam Osullivan [REDACTED]
Cc: Bradley Gillin [REDACTED]; Richard Iarossi [REDACTED]
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Do you recognize account code **STRUPDT0118001**?

Thanks

From: Vijay-A Sawant
Sent: Friday, September 21, 2018 10:18 AM
To: Richard Iarossi [REDACTED]
Cc: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Mayur Rathod [REDACTED]
Subject: RE: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

- "Purpose of the account" required for all the below accounts.

Id#	Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
7700095	SOUTHERN TRUST COMPANY INC	7700095	Southern Trust Company, Inc.	GB AG NY Preferred Deposit	\$103,074.87	2/21/2017	✓	9/20/2018
N4002852	SOUTHERN TRUST COMPANY INC	N4002852	Southern Trust Company, Inc.	Brokerage Advisory	\$2,941,174.00	8/26/2013	✓	9/20/2018
4412624	SOUTHERN TRUST COMPANY INC	4412624	Southern Trust Company, Inc.	Money Market Business Account	\$2,456,173.24	8/27/2013	✓	9/20/2018

Id#	Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
N4002858	JEFFREY EPSTEIN	N4002858	Jeffrey E. Epstein	Brokerage Advisory	\$660,052.00	8/23/2013	✓	9/20/2018
680519	JEFFREY EPSTEIN	680519	Jeffrey E. Epstein	Domestic	\$115,735.96	4/10/2014	✓	9/20/2018

[REDACTED] – DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Fail	N4002850 - JEPSTEIN VIRGIN ISLANDS	N4002850	J. Epstein Virgin Islands Foundation, Inc	Brokerage Advisory	\$248,597.00	9/30/2013	✓	9/20/2018