

Cc: Bradley Gillin [REDACTED] Richard Iarossi <[REDACTED]>
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Do you recognize account code **STRUPDT0118001**?

Thanks

From: Vijay-A Sawant
Sent: Friday, September 21, 2018 10:18 AM
To: Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]> Stewart Oldfield <[REDACTED]> Mayur Rathod

Subject: RE: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

- "Purpose of the account" required for all the below accounts.

Ent	Account Name	Account ID	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Ent	77000966 - SOUTHERN TRUST COMPANY INC.	77000966	Southern Trust Company, Inc.	DB AG NY Preferred Deposit	\$100,074.67	2/21/2017	✓	9/20/2018
Ent	N40023812 - SOUTHERN TRUST COMPANY INC	N40023812	Southern Trust Company, Inc.	Brokerage Advisory	\$2,541,174.00	8/28/2013	✓	9/20/2018
Ent	44129244 - SOUTHERN TRUST COMPANY INC.	44129244	Southern Trust Company, Inc.	Money Market Business Account	\$2,486,173.24	8/27/2013	✓	9/20/2018

Ent	Account Name	Account ID	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Ent	N40024960 - JEFFREY EPSTEIN	N40024960	Jeffrey E. Epstein	Brokerage Advisory	\$659,052.00	9/23/2013	✓	9/20/2018
Ent	060519 - JEFFREY EPSTEIN	060519	Jeffrey E. Epstein	Domestic	\$115,735.96	4/16/2014	✓	9/20/2018

[REDACTED] - DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

Action	DB Account Name	Account ID	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Ent	N40024960 - EPSTEIN VIRGIN ISLANDS	N40024960	J. Epstein, Sharn, Virginia Foundation, Inc	Brokerage Advisory	\$294,397.30	9/23/2013	✓	9/20/2018

Regards,



Vijay Sawant

KYC Case Representative
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