
From: Ian Salters [REDACTED]
Sent: 2/21/2019 6:16:42 PM
To: Davide-A Sferrazza [REDACTED]; Bill King [REDACTED]; Olivier Poncet [REDACTED]; Joe Aglione [REDACTED]
Subject: FW: Combined KYC list and priorities [I]
Attachments: WM clients trading with GM - combined list 02.16.19.xlsb

Have we reviewed the lists again to ensure we believe the information is correct also to reassess priorities (if needed).

Ian

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From: Ian Salters <[REDACTED]>
Date: Friday, Feb 15, 2019, 17:31
To: Olivier Poncet <[REDACTED]>, Bill King <[REDACTED]>, Davide-A Sferrazza <[REDACTED]>
[REDACTED]
Cc: Alastair Mackinlay <[REDACTED]>, Nina Tona <[REDACTED]>
Subject: FW: Combined KYC list and priorities [I]

Classification: **For internal use only**

FYI

From: Ian Salters
Sent: Friday, February 15, 2019 5:31 PM
To: Victoria Bruc [REDACTED]; Thomas Klemm [REDACTED]
Cc: [REDACTED]
Subject: Combined KYC list and priorities [I]

Classification: **For internal use only**

I have just received an updated file from JAX and the results are a substantial improvement. They have rechecked every client in the dbCAR database and the results are as follows. Many of the names did not come up originally because they were off by a slash, comma, periods, spaces, and other characters.

IWP Onboarded = 43 (further 7 to be prioritized)
WM Onboarded = 53 (further 12 to be prioritized)

I will have both businesses review the results.

Regards

Ian