

From: Nina Tona [REDACTED]
Sent: 3/13/2018 2:00:20 PM
To: Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]; Tazia Smith [REDACTED]
CC: Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]; Ian Salters [REDACTED]
Subject: RE: GM KCP Bible - Action Plan - Update I KYC Priority List [I]

Classification: **For internal use only**

Hi Tazia,

We are putting together our KYC/NCA priorities list for our GM accounts. Please let me know if we are missing anything from your perspective.

Thank you

Nina Tona

From: Xavier Avila
Sent: Tuesday, March 13, 2018 1:55 PM
To: Nina Tona [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]
Cc: Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]; Ian Salters [REDACTED]
Subject: RE: GM KCP Bible - Action Plan - Update I KYC Priority List [I]

This is good, below my changes...

Let's make sure we collate everyone's priorities....someone reach out to Tazia and co too to see if anything we are missing.

From: Nina Tona
Sent: Tuesday, March 13, 2018 1:16 PM
To: Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]
Cc: Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]
Subject: RE: GM KCP Bible - Action Plan - Update I KYC Priority List [I]

Hi Team,

Please see the below and advise if the rank priority is correct and help me fill the blanks highlighted in green. Once I get your confirmation, I will forward to Mat and Rita to manage.

Priority Rank	Team	WM Client / Account Name	dbcar ID	New KYC	KYC Review Date	Responsible - For Info/Docs	Responsible - For Contact/CM	Case Manager	KYC Target Due Date	Live Trades	C
1	KCP LATAM	O3 Capital Global Fund	1012934358	Yes	N/A	Xavi A	Nina T	Rita Shteynberg	ASAP	Yes	3/20 ne pre 2 if pe