

To: Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>; Aiasha Khokhar <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Xavier Avila <[REDACTED]>; Joshua Shoshan <[REDACTED]>
Subject: RE: Southern Financial - KYC Docs. [1]

Classification: **For internal use only**

Hi Aiasha,

I was told you would be able to assist with the below questions Southern Financial had about our CRS form. Can you take a look?

Martin – I will see if this confirmation from Richard works...

Thanks,
Zack

From: Martin Zeman
Sent: Thursday, April 19, 2018 10:54 AM
To: Zack Bunimovich <[REDACTED]>; Nina Tona <[REDACTED]>; Aiasha Khokhar <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Xavier Avila <[REDACTED]>; Joshua Shoshan <[REDACTED]>
Subject: RE: Southern Financial - KYC Docs. [1]

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Zack,

See attached the FATCA + CRS form. Three follow-up questions from the client:

- 1. Can we clarify the definition of 'Active NFE – other' versus 'Passive NFE' under Part 2 (b). The definition is not very clear to the client. They believe they are 'Active NFE – other' but want clarification
- 2. Can you say what happens with the information in this form? What does DB do with it and who is it reported to?
- 3. Do US Virgin Islands also fall under this reporting requirement?

On the other points, note the DOB of their legal reps are:

Darren Indyk <[REDACTED]>
Richard Kahn <[REDACTED]>

(see confirmation of this in the attached email from Richard Kahn)

Thanks
Martin

From: Zack Bunimovich
Sent: Wednesday, April 11, 2018 5:50 PM
To: Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: Southern Financial - KYC Docs. [1]

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