From: Nicholas Hislop [REDACTED]
Sent: 5/21/2018 12:07:32 PM
To: Kareen Johnson [REDACTED]
CC: Simon Nall [REDACTED] ; Martin Zeman [REDACTED] Daniel-A Klyashtorny [REDACTED] [REDACTED] ; Nina Tona [REDACTED] ; Xavier Avila [REDACTED] ; Davide-A Sferrazza [REDACTED] ; AMLUK Reviews [REDACTED] Devshiji Odedra [REDACTED] ; Ian Salters [REDACTED] Ricky-x Patel [REDACTED] ; Jesse-J Cruz [REDACTED] ; Zack Bunimovich [REDACTED] ; Jonathan Whittle [REDACTED] ; James Gladwin [REDACTED]
Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Classification: **For internal use only**

Hi Kareen,

Is this not because the client is High risk and therefore all extensions are routed to AFC, and/or the Global KYC was out of date? Therefore the completion of the global triggered the local requests for review?

From: Kareen Johnson
Sent: 21 May 2018 12:34
To: Nicholas Hislop < [REDACTED] >
Cc: Simon Nall < [REDACTED] Martin Zeman < [REDACTED] ; Daniel-A Klyashtorny < [REDACTED] [REDACTED] ; Nina Tona < [REDACTED] >; Xavier Avila < [REDACTED] Davide-A Sferrazza < [REDACTED] ; AMLUK Reviews < [REDACTED] Devshiji Odedra < [REDACTED] ; Ian Salters < [REDACTED] >; Ricky-x Patel < [REDACTED] ; Jesse-J Cruz < [REDACTED] ; Zack Bunimovich < [REDACTED] >; Jonathan Whittle < [REDACTED] ; James Gladwin < [REDACTED] >
Subject: Re: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Good day Nick -
We have an issue here where in dbCAR this relationship did not reflect an old GB review date. We can see in the master profile that the relationship was created several years ago under DBAG, but now when extending for a new product, the review was missing completely. The file was escalated to AML for review and approved . This action triggered a GB review date for the very first time.
Is it possible this was a merger issue?
Please let me know if your team could take a look and if additional information is needed.
Thank you!

Kareen Johnson

On May 18, 2018, at 12:11 PM, James Gladwin < [REDACTED] > wrote:

Classification: **For internal use only**

Hi Simon,

Absolutely, quite simply:

i.   Our Jacksonville CDS colleague, Kareen Johnson, will be reaching out to the dbCLIENT merger team to query the status/success of the KCP merge from dbCLIENT to dbCAR
a.   @**Kareen**, it would be great if I could be CC'd in to your correspondence so that AFC can be advised accordingly