

Martin Zeman [REDACTED]; Nina Tona [REDACTED]  
**Subject:** RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Classification: **For internal use only**

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**From:** Zack Bunimovich  
**Sent:** Tuesday, May 08, 2018 10:21 AM  
**To:** AMLUK Reviews [REDACTED]; Jesse-J Cruz [REDACTED]; James Gladwin [REDACTED]  
**Cc:** Kareen Johnson [REDACTED]; Daniel-A Klyashtorny [REDACTED]  
Martin Zeman [REDACTED]  
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Hi James,

For ii, this is a permanent extension.

Martin – can you advise on the questions from James in i. below?

Thanks,  
Zack

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**From:** James Gladwin On Behalf Of AMLUK Reviews  
**Sent:** Tuesday, May 08, 2018 10:18 AM  
**To:** Jesse-J Cruz [REDACTED]; James Gladwin [REDACTED]  
**Cc:** Kareen Johnson [REDACTED]; Daniel-A Klyashtorny [REDACTED]  
Zack Bunimovich [REDACTED]; AMLUK Reviews [REDACTED] >  
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Hi both,

I am happy to help.  
Just a couple of immediate questions to help expedite this:

- i. What is the business purpose for the extension?
  - a. Elaborate on key details including; booked trades, expected volumes
  - ii. Is the extension request permanent or temporary?
    - a. Please provide activation/closure dates if temporary

FYI, Helen has been removed from the chain as she has left the bank.  
AMLUK.COMPLIANCE has been removed, AMLUK.REVIEWS primarily work extensions/reactivations now alongside our PRs/EDRs and not the aforementioned team.

Many thanks!

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