

Mathew Negus <[REDACTED]>; GM ACO <[REDACTED]>
 Cc: Nina Tona <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Martin Zeman <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
 Subject: RE: SF [I]

Gm ACO – can you liaise with central merc and establish the CIB colour on the rhp below?

thx

From: Xavier Avila
Sent: 01 March 2018 16:08
To: Iain Macara <[REDACTED]>; Rita Shteynberg <[REDACTED]>; Jitan Patel <[REDACTED]>; Mathew Negus <[REDACTED]>
Cc: Nina Tona <[REDACTED]>; Alastair Mackinlay <[REDACTED]>; Martin Zeman <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>
Subject: SF

Ian, thanks for the call.
 So Rita who is the Case Manager seems to suggest that she sees Southern Financial Red and therefore we cannot extend it to Credit Derivatives, while we think we should see this Green from a KCP perspective.
 Bu you explain to me that the Red might be only when it comes to your ICG world.

Can you pls clarify if this client is Red for everyone including KCP and if so how do we turn it Green, as discussed this is an example of a KCP Americas account that is ACOed by us, in this case myself.

Thanks
 Xavi

From: Nina Tona
Sent: Thursday, March 01, 2018 11:00 AM
To: Xavier Avila <[REDACTED]>
Subject: SF

Results 1

Entity Level	Legal Name Registered Address	Business Unit	Adoption Location	Adoption Entity	Product
LE	Rudolph Financial, LLC 250 Red Hook Quay Dr. Ft. Thomas, N.Y. 10804, U.S.	MARKETS	United Kingdom, Active	DB AG (London)	Overseas Overseas Bonds FX Cash - ALL FX Options - Buff Fixed Income - Cash Bonds OTC Derivatives (Name)
			United States, Active	DBS	Cash Equities (MPSVP) Borers - Custody/Delega GCD - OTC Options

Kind regards,

