

**From:** Nina Tona  
**Sent:** Friday, March 02, 2018 12:16 PM  
**To:** Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]  
**Cc:** Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]  
**Subject:** RE: GM KCP Bible - Action Plan - Update I [I]

Team –

Please let me know which clients are of priority so we can start the NCA process.

Thank you

Nina Tona

**From:** Nina Tona  
**Sent:** Wednesday, February 21, 2018 11:30 AM  
**To:** Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay [REDACTED]  
**Cc:** Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon [REDACTED]  
**Subject:** RE: GM KCP Bible - Action Plan - Update I [I]

Team,

For all the below clients **KYC review date is overdue**. Please review and advise if, **1- renew KYC** and **2- who will be my contact for info**.

Team	WM Client / Account Name	dbcar ID	KYC Review Date	Renew KYC Y/N	Responsible - For Info/Docs	Banker	Responsible - For Contact/CM	Case Manager	Comments
KCP LATAM	Armory Fund LDC	[REDACTED]	1/31/2014	Yes	Billy O		Nina T		Started Need info fr Eduar
KCP LATAM	Blue Media Investments Inc	[REDACTED]	8/29/2017						
KCP LATAM	Bradwell Commercial Ltd	[REDACTED]	9/13/2017						
KCP LATAM	Drake Aurora Fund	[REDACTED]	5/29/2015						
KCP LATAM	Fratelli Investments Limited	[REDACTED]	4/23/2017	Yes	Billy O	Mauricio P	Nina T		
KCP LATAM	Inverban	[REDACTED]	8/7/2017						
KCP LATAM	Proaltus Capital Partners SL	[REDACTED]	11/15/2017						