
From: Xavier Avila [REDACTED]
Sent: 4/16/2018 9:30:18 PM
To: Jimmy-H Xu [REDACTED]; Mathew Negus [REDACTED]; Akash Malhotra [REDACTED]
CC: Joe Aglione [REDACTED]; Ian Salters [REDACTED]; Nina Tona [REDACTED]; Pankaj-A Chopra [REDACTED]; NCAOTC Derivatives [REDACTED]; Martin Zeman [REDACTED]; Alka Gopala [REDACTED]
Subject: RE: KYC Breach_SOUTHFINANMD_Southern Financial, LLC_FFT Location [I]

Done, thanks.

Are all the XCCYs generally booked and clients KYCed in DB AG FFT? What about EUR and GBP vanilla and inflation swaps? We might have some of those coming.

Mat/Nina, who can help us with FFT extensions, same NY CMs?

Thanks

From: Jimmy-H Xu <[REDACTED]>
Date: Monday, Apr 16, 2018, 11:56
To: Xavier Avila <[REDACTED]>, Mathew Negus <[REDACTED]>, Akash Malhotra <[REDACTED]@db.com>
Cc: Joe Aglione <[REDACTED]>, Ian Salters <[REDACTED]>, Nina Tona <[REDACTED]>, Pankaj-A Chopra <[REDACTED]>, NCAOTC Derivatives <[REDACTED]>, Martin Zeman <[REDACTED]>, Alka Gopala <[REDACTED]>
Subject: RE: KYC Breach_SOUTHFINANMD_Southern Financial, LLC_FFT Location [I]

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Approved as one-off, but let's get the KYC done for FFT please

Thanks

Kind regards

Jimmy



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From: Xavier Avila [mailto:[REDACTED]]
Sent: 16 April 2018 17:55