

Zack

From: James Gladwin **On Behalf Of** AMLUK Reviews

Sent: Tuesday, May 08, 2018 10:18 AM

To: Jesse-J Cruz <[REDACTED]>; James Gladwin <[REDACTED]>

Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; Zack Bunimovich <[REDACTED]>; AMLUK Reviews <[REDACTED]>

Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Classification: **For internal use only**

Hi both,

I am happy to help.

Just a couple of immediate questions to help expedite this:

- i. What is the business purpose for the extension?
 - a. Elaborate on key details including; booked trades, expected volumes
 - ii. Is the extension request permanent or temporary?
 - a. Please provide activation/closure dates if temporary

FYI, Helen has been removed from the chain as she has left the bank.

AMLUK.COMPLIANCE has been removed, AMLUK.REVIEWS primarily work extensions/reactivations now alongside our PRs/EDRs and not the aforementioned team.

Many thanks!



James Gladwin
AFC Business Advisory
Anti-Financial Crime

DBOI Global Services (UK) Limited
5 Brindley Place, Birmingham, B1 2JB, United Kingdom
Email: [REDACTED]

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From: Jesse-J Cruz

Sent: 08 May 2018 15:06

To: AMLUK Reviews <[REDACTED]>; amluk compliance <[REDACTED]>; James Gladwin <[REDACTED]>; Helen Ncube <[REDACTED]>

Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; Zack Bunimovich <[REDACTED]>

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James/Helen,

Can you assist with this request? Please make top priority.