

Date: Monday, Apr 16, 2018, 11:56

To: Xavier Avila [REDACTED], Mathew Negus [REDACTED], Akash Malhotra [REDACTED]

Cc: Joe Aglione [REDACTED], Ian Salters [REDACTED], Nina Tona [REDACTED], Pankaj-A Chopra [REDACTED], NCAOTC Derivatives [REDACTED], Martin Zeman [REDACTED]

Subject: RE: KYC Breach\_SOUTHFINANMD\_Southern Financial, LLC\_FFT Location [I]

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Approved as one-off, but let's get the KYC done for FFT please

Thanks

Kind regards

Jimmy



Jimmy-H Xu

Cross Currency Swap Trading

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Tel. [REDACTED]

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Email [REDACTED]

From: Xavier Avila [mailto:[REDACTED]]

Sent: 16 April 2018 17:55

To: Mathew Negus [REDACTED], Akash Malhotra [REDACTED]

Cc: Joe Aglione [REDACTED], Ian Salters [REDACTED], Nina Tona [REDACTED], Pankaj-A Chopra [REDACTED], NCAOTC Derivatives [REDACTED], Martin Zeman [REDACTED], Alka Gopala [REDACTED]

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[REDACTED] MO, is it ok to move this trade to London pls?

From: Mathew Negus

Sent: Monday, April 16, 2018 9:03 AM

To: Xavier Avila [REDACTED], Akash Malhotra [REDACTED]

Cc: [REDACTED]; NCAOTC Derivatives [REDACTED]; Pankaj-A Chopra [REDACTED]; Alka Gopala [REDACTED]; Nina Tona [REDACTED]; Ian Salters [REDACTED]; Martin Zeman [REDACTED]

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I would re-book to London if the desk agrees.