

From: Manish-m Gupta [REDACTED]
Sent: 2/21/2017 1:28:22 PM
To: USPWM KYC [USPWM.KYC-2@db.com]
CC: Cynthia Rodriguez [REDACTED]
Subject: Volker covered fund : : Southern Financial LLC [I]

Classification: **For internal use only**

Hello Team,

Can you please update the Volker covered fund in db force as it is blank. Please see the below screen shot.

Please confirm so we proceed further.


Customer
Southern Financial LLC
Customize Page | Printable View | Help for this Page

[Back to List: Cases](#)
[Contacts \(8\)](#) | [DB Accounts \(7\)](#) | [Opportunities \(10\)](#) | [Customer Team \(1\)](#) | [Associations \(0\)](#) | [Cases \(10\)](#) | [Open Activities \(1\)](#) | [Activity History \(2\)](#) | [Notes & Attachments \(0\)](#) | [Annual Client Updates \(0\)](#) | [Lending Approvals/Reviews \(0\)](#) | [Ticklers \(0\)](#) | [AIS Requests \(0\)](#) | [SPG Approval Requests \(0\)](#) | [Address Change Material Tracking \(0\)](#) | [Contact Drop/going Duplicates \(0\)](#) | [TEAS History \(0\)](#) | [Account History \(2\)](#) | [Audit Trail \(7\)](#) | [Report Covenant Masters \(0\)](#) | [Loan Facilities \(0\)](#)

Customer Detail		Edit Delete Sharing	
Customer Record Type	Client [Change]	Customer Owner	Stewart Oldfield [Change]
Customer Name	Southern Financial LLC [View Hierarchy]	German PO	
Relationship	SOUTHERN FINANCIAL RELATIONSHIP	Created By	Komal Shahani , 5/26/2013 10:27 AM
* Type	Organization	Last Modified By	Relational Junction , 2/20/2017 9:32 PM
SSN		GC/S Customer Number	0000493862
Federal Tax ID #	68-0799192	Customer Number	0000493862
Status	Active	DWH Customer Id	483.882
Referral Source		Bank	1
Name of Referral Source		Third Party Referral Source	
Client Since Date	8/26/2013	Former Client?	<input type="checkbox"/>
Hidden Customer Name	SOUTHERN FINANCIAL LLC	Portfolio Management Team	
Converted Customer Owner		Covenant Contact Preference	
Lead Relationship Name	EPSTEIN, JEFFREY RELATIONSHIP	* Moderate Risk	<input type="checkbox"/>
Lead Relationship Number	00000483290	* High Risk	<input checked="" type="checkbox"/>
Officer Secondary Code		Will Appointment	<input type="checkbox"/>
EEA Client Type	N/A	Volker Covered Fund?	
		Risk Type	

Kind regards,
Manish-m Gupta



Manish-m Gupta
 Process Supervisor | PWM US Operations

DBOI Global Services Private Limited
 COO Wealth Management Operations
 A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

