

**D&B:**

Not Required

**LEGAL RESULTS:**

**Court Cases:**

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Watchlists and Blacklists

October 7, 2016

**Richard Kahn**

**SOURCE:**

AUTHORITY: New York Stock Exchange  
LIST NAME: NYSE Arca Enforcement  
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\*\*\*\*\* **PERSONAL INFORMATION** \*\*\*\*\*

**Decision number:** 12-ARCA-3  
**ID DIESES DATENSATZES:** 20200292674

\*\*\*\*\* **OTHER INFORMATION** \*\*\*\*\*

**CASE:** Name on the list of Arca Disciplinary Actions (Position: ETP Holder Limited Partner)

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