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Passion to Perform

From: Cynthia Rodriguez

Sent: Thursday, July 06, 2017 5:53 PM

To: Darnetta Chandler-Johnson <[REDACTED]>

Cc: Stewart Oldfield <[REDACTED]>; Daphne Cales <[REDACTED]>; Patrick Harris

<[REDACTED]>; Vaishali-P Mehta <[REDACTED]>

Subject: RE: KYC Remediation - Southern Financial Relationship [1]

Classification: **For internal use only**

All,

Just a quick update on this relationship. I have condensed the below kycs to 4 cases. Three of the four cases have been initially reviewed. The certificates of good standing for all of the USVI entities under the Epstein relationship have been ordered, but will take 2-3 weeks to arrive as 14 entities needed to be ordered. Therefore, the following cases will be in my queue until the certificates arrive:

- 01790655
- 01790739
- 01790820

I will be submitting the fourth case for initial review tomorrow or Monday the latest as I am waiting to hear back from the client on any material changes. I will notify you if any other delays occur. Thank you.

Kind regards,

Cynthia Rodriguez



Cynthia Rodriguez

Assistant Vice President

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From: Darnetta Chandler-Johnson

Sent: Thursday, June 22, 2017 4:48 PM