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**From:** Renee Salhi [REDACTED]  
**on behalf of** PWMUS AMLKYC [REDACTED]  
**Sent:** 10/12/2016 2:14:45 PM  
**To:** Cynthia Rodriguez [REDACTED]; PWMUS AMLKYC [REDACTED]  
**Subject:** CLEARED: RDC ALERT - Richard Kahn [I]

Classification: **For internal use only**

Hello Cynthia,

AML Compliance has reviewed the four RDC alerts generated for Richard Kahn, beneficiary of the Butterfly Trust, client.

**Alert #1:**

- The subject of the alert resides in PA.
- The beneficiary resides in NY and has no address history in PA according to LexisNexis.

AML Compliance clears this alert from an AML perspective as the location of the beneficiary does not match that of the alert subject.

Alert subject information:

<https://www.nyse.com/publicdocs/nyse/markets/nyse-arca/disciplinary-actions/2012/12-ARCA-3.pdf>

**Alert #2:**

- The subject of the alert resides in FL.
- The beneficiary resides in NY and has no address history in FL according to LexisNexis.

AML Compliance clears this alert from an AML perspective as the location of the beneficiary does not match that of the alert subject.

Alert subject information:

**Alert #3:**

- The subject of the alert was born in 1961.
- The beneficiary was born in 1972.

AML Compliance clears this alert from an AML perspective as the age of the alert subject does not match that of the beneficiary.

**Alert #4:**

- The subject of the alert was born in 1962.
- The beneficiary was born in 1972.

AML Compliance clears this alert from an AML perspective as the age of the alert subject does not match that of the beneficiary.

Kind regards,  
Renée Salhi



Renée Salhi  
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