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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 11/16/2018 9:57:21 AM  
**To:** Bradley Gillin [REDACTED]  
**CC:** Vinita Advani [REDACTED]  
**Subject:** RE: Gratitude/BV70 [I]  
**Importance:** High

Hi Brad,

For the significant donor in this Foundation entity, we require the following docs-  
Formation docs (COI, COGS, etc)  
Constitutional docs (Operating agreement, etc)  
Ownership list (listing atleast those parties who own more than 10% in BV70)  
Register of Members/Directors

We should be able to determine the UBO/s in this entity along with the Legal Representatives who control the entity. Once the UBO is identified, ID docs will be required for him/her. The above is essentially what we would need to complete our review.

Let me know if any further queries, thanks.

Kind Regards,

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
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-----Original Message-----

**From:** Bradley Gillin  
**Sent:** Friday, November 16, 2018 7:37 PM  
**To:** Vijay-A Sawant <[REDACTED]>  
**Subject:** FW: Gratitude/BV70 [I]

Classification: For internal use only

Vijay,  
See below from Stewart. Please advise, thank you.

Regards,  
Brad

-----Original Message-----

**From:** Stewart Oldfield  
**Sent:** Friday, November 16, 2018 9:05 AM  
**To:** Bradley Gillin <[REDACTED]>  
**Subject:** Gratitude/BV70

Can you tell me exactly what I need to get for the BV70 entity to satisfy the gratitude KYC? We need to only go to the client once for this. Thanks

Stewart Oldfield, CFA, CAIA  
Director

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