

- The 2007 Jeffrey E. Epstein Insurance Trust#3
- The Haze Trust
- NES, LLC
- Plan D, LLC

We will be able to submit all the remaining cases from this relationship once the above info & docs are received. Let me know if any queries, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063, India

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**From:** Stewart Oldfield  
**Sent:** Wednesday, September 26, 2018 1:36 AM  
**To:** Vijay-A Sawant [REDACTED]  
**Cc:** Bradley Gillin <[REDACTED]>; Richard Iarossi [REDACTED]  
**Subject:** Southern Financial

Vijay,

Have we had any feedback on the lasted KYCs you submitted for Southern Financial? Would be good to understand which ones we still need more info on. I think we are still waiting on COGS for Jeepers and Neptune. We also still need to resolve the BV70 issue with Gratitude America. All of this is with the client at this point. Is there anything else I need to be following up on?

Thanks



Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

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