
From: Xavier Avila [REDACTED]
Sent: 2/28/2018 7:36:33 AM
To: Davide-A Sferrazza [REDACTED]
Subject: Re: KCP Collateral Flow [I] [C]

All clear on what needs to be done here?

On Feb 27, 2018, at 08:58, Paolo De-Leon <[REDACTED]> wrote:

Thanks Davide,

You can continue to send directly to me and I'll go ahead and save into their respective client folders and also interface with the appropriate teams to have them set up on the margining system.

Thanks again!

Paolo

From: Davide-A Sferrazza
Sent: Monday, February 26, 2018 5:36 PM
To: Paolo De-Leon <[REDACTED]>
Cc: Xavier Avila <[REDACTED]>; Keith Buckholz <[REDACTED]>
Subject: RE: KCP Collateral Flow [I] [C]

Classification: **Confidential**

Paolo,

Please see the requested docs attached for Southern Financial LLC.

Will provide for the other two entities when they are done. Is there someone I should send these to directly going forward?

Thanks,
Davide

From: Paolo De-Leon
Sent: Thursday, February 22, 2018 10:02 AM
To: Davide-A Sferrazza <[REDACTED]>; Xavier Avila <[REDACTED]>; Keith Buckholz <[REDACTED]>
Subject: RE: KCP Collateral Flow [I]

GM Davide,

- <!--[if !supportLists]--><!--[endif]-->KYC- "Know Your Client"
- <!--[if !supportLists]--><!--[endif]-->PCR- "Preventative Crime Research"
- <!--[if !supportLists]--><!--[endif]-->RDC- "Regulatory Data Corp"

Teresa Metallo's group was previously in charge of providing those documents, so she may be a good resource to reach out to if you need some pointing in the right direction.

Thanks,