

		Temporary Exception Valid Until	
Custom Links	View DB Accounts and Revenue	Client/Assets At Risk	Create Annual Client Update
	Request Customer Deletion	Log Client Complaint	Request ACU Contact Exemption
	Request Primary Officer Change	Import Documents	View Exemption Guidelines
	Request New Relationship	Export Documents	Generate Key Relationship Report
	Launch NAO Wizard	View Documents From Repository	Launch ALAT Process
	View NAO Cases		View Loan Covenant Details
	Launch Due Diligence		

Classification

Potential New Revenue	<\$25 K	Unconfirmed Investor Status	
Client Satisfaction	Satisfied	Investor Status Definitions	http://pwm.intranet.db.com/new/filehandler.php?getFile=us/docs/InvestorStatusAddendum__10_24_07.pdf
Industry		* DB is Trustee/Co-Trustee	☐
Revenue Tier	D (< \$25K)	* DB Employee?	☐
Forbes Ranking		* DB managed PIC	☐
		* Bearer Shares?	☐
Segment			

Financial Profile (KYC Information)

* Net Worth (Individual)	\$500 MM +	Investment Objective	
* Investable Assets	\$50 MM - 100 MM	Risk Tolerance	
* Estimated Annual Income (Individual)	\$10,000,000	Sophistication	
* Estimated Annual Gross Income (Entity)		Source Of Income	
* Estimated Annual Net Profit (Entity)		* Source of Wealth (Individual)	Investment; Business Owner
		* Description of Wealth/Entity Assets	Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in