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**From:** Renee Salhi [REDACTED]  
**on behalf of** PWMUS AMLKYC [REDACTED]  
**Sent:** 10/12/2016 2:35:05 PM  
**To:** Cynthia Rodriguez [REDACTED]; PWMUS AMLKYC [REDACTED]  
**Subject:** CLEARED: RDC ALERT - Richard Kahn and PCR ALERT - Darren Indyke [I]

Classification: **For internal use only**

Hello Cynthia,

AML Compliance has reviewed the PCR alert generated for Darren Indyke, beneficiary of the Butterfly Trust, client of the Private Bank.

The subject of the alert is a positive match to Mr. Indyke who has a reference on file with compliance . AML Compliance clears this alert from an AML perspective.

Kind regards,  
Renée Salhi



Renée Salhi  
Associate | AML Compliance Officer

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**From:** Cynthia Rodriguez  
**Sent:** Wednesday, October 12, 2016 12:08 PM  
**To:** PWMUS AMLKYC  
**Subject:** RDC ALERT - Richard Kahn and PCR ALERT - Darren Indyke

Dear AML Compliance,

Attached are RDC alerts for Darren Indyke and Richard Kahn who have been previously KYC approved and are part of KYC Update case 01651789. The alerts reference the following:

- Richard Kahn, of New York, appears on NY Stock Exchange Disciplinary Actions.
- Richard Jeffrey Kahn, of Florida, appears on Florida Office of Financial Regulation list of Final Orders.
- Richard Leroy Kann aka RICHARD L KAHN, [REDACTED] of GOLDEN, Colorado, appears on the list of Colorado - Sex Offenders
- Richard L. Kahn, 1962, of Havana, Illinois, was wanted on a charge of calculated criminal drug conspiracy.
- Darren Indyke has a PCR alert, but the alerts don't reference anything specific.

Please clear these alerts for the following reasons: