



DB12000P - Deutsche Bank Private Bank

Batch Date: 10/12/2016

Added to Monitoring: 10/12/2016

Alert Date:

Alert

10/12/2016

Person Name: Jeffrey Epstein

Date of Birth: 01/20/1953

Address: Country:United States

Tracking ID: GCIS 00000483289

Reporting ID: KYC 01651789

Alerted Entity #: 3 of 3

Alert ID: 6472545.11593674.64322136

Entity Information

Entity ID: 64322136

Riskid: R110194221

Entity Name: Jeffrey R. Epstein

Recent Event(s): • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

Decision number: 1993-003378 - Respondents shall pay to Claimant \$736.00. This award is joint and several., 01/31/1994, New York - Stock Exchange Arbitration Decisions

Event: • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

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Alert Notes

Notes: Jeffrey R. Epstein, of United States, appears on New York - Stock Exchange Arbitration Decisions Site.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=238099a3ea52d8275d3f3d53eb346cc4>

Source Name: RiskConnect, 10/11/2016

Entity URL: <http://finraawardsonline.finra.org/turing.aspx?doc=37613>

Sources: NY Stock Exchange Arbitration Decisions, NY Stock Exchange Arbitration Decisions, <http://www.nyse.com/regulation/nyse/1022221394131.html>

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