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**From:** Cynthia Rodriguez [REDACTED]  
**Sent:** 2/5/2018 5:31:43 PM  
**To:** PWMUS AMLKYC [REDACTED]  
**Subject:** RUSH RDC Alert\_ Jeffrey Edward Epstein And The 2017 Caterpillar Trust [I]  
**Attachments:** RDC alert\_ Jeffrey Edward Epstein.pdf; RDC alert\_ 1 Jeffrey Edward Epstein.pdf; RDC alert\_ The 2017 Caterpillar Trust.pdf; RDC alert\_ 1 The 2017 Caterpillar Trust.pdf

**Importance:** High

Classification: **For internal use only**

Dear AML Compliance,

Attached are RDC alerts for Jeffrey Epstein and The 2017 Caterpillar Trust, part of KYC case 01898372. The alerts reference the following:

- Caterpillar Inc being charged with fraud after disclosure of "deliberate, multi-year, coordinated accounting misconduct"
- Jeffrey Epstein pled guilty to a single state charge of soliciting prostitution

The 2017 Caterpillar Trust is a trust that Mr. Epstein created and is the grantor of. The attached RDC is a false positive and Caterpillar Inc is not part of this trust in any way. Mr. Epstein's alert has previously been cleared. See attached.

Please provide updated clearances. This is part of a rush KYC. The reason for the rush is for an upcoming acquisition where funds will need to be available by 2/7/2018. Thank you.

Kind regards,

Cynthia Rodriguez



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