



DB12000P - Deutsche Bank Private Bank

Batch Date: 2/5/2018  
Added to Monitoring: 02/05/2018

Alert Date: 2/5/2018

Alert  
2/5/2018

Organization: The 2017 Caterpillar Trust  
Address: Country: VIRGIN ISLANDS, U.S.  
Tracking ID: KYC 01898372

Reporting ID:

Alerted Entity #: 1 of 1

Risk Priority: Critical

Alert ID: 12612432.11620920.86458141

- <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=WSJO000020140113ea1c0015p>
- Dow Jones Institutional News, MEDIA Article, Headline: Caterpillar Settles Engine-Fire Suit for \$46 Million, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=DJDN000020140610ea6a003tk>
- Daily Record (Rochester, NY), MEDIA Article, Headline: Appellate Division, Third Department Case Summaries: May 7, 2008. (Case overview), <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=DRRC000020080509e45700008>
- The Pioneer (India), MEDIA Article, Headline: Accounting fraud opens can of worms
- Reuters News, MEDIA Article, Headline: U.S. multinationals fear fallout from U.S.-China audit row, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=LBA0000020121207e8c70006c>
- New York Times Online, MEDIA Article, Headline: Feature: Should You Be Allowed to Invest in a Lawsuit?
- Newcastle Journal (England), MEDIA Article, Headline: North firm's 'David v Goliath' battle to start
- Newcastle Journal (England)
- City A.M., MEDIA Article, Headline: Caterpillar loses \$73m trade secrets verdict in US against UK supplier
- San Angelo Standard-Times (TX), MEDIA Article, Headline: Murder trial will stretch into third week
- Reuters, MEDIA Article, Headline: Caterpillar is sued by a shareholder after federal raid
- Rapid City Journal, The (SD), MEDIA Article, Headline: Caterpillar offices raided in probe related to Swiss unit, [http://rapidcityjournal.com/caterpillar-offices-raided-in-probe-related-to-swiss-unit/article\\_f3aab49b-3da7-5f29-81f1-3f0eca56ec6f.html](http://rapidcityjournal.com/caterpillar-offices-raided-in-probe-related-to-swiss-unit/article_f3aab49b-3da7-5f29-81f1-3f0eca56ec6f.html)
- New York Times, The, MEDIA Article, Headline: Caterpillar Is Accused in a Report of Fraud
- Emirates News Agency (WAM) (United Arab Emirates), MEDIA Article, Headline: CAT Investor Alert: Hagens Berman Alerts Investors in Caterpillar, Inc. to Securities Class Action Related to Possible Tax Fraud and to the May 2, 2017 Lead Plaintiff Deadline
- Peoria Journal Star, The (IL), MEDIA Article, Headline: Caterpillar eliminating 'middleman,' alright
- Register-Mail, The (Galesburg, IL)
- Legal Monitor Worldwide (Jordan), MEDIA Article, Headline: CAT SHAREHOLDER ALERT: The Law Offices of Vincent Wong Notifies Investors of a Class Action Involving Caterpillar, Inc. and a Lead Plaintiff Deadline of May 2, 2017
- Peoria Journal Star, The (IL), MEDIA Article, Headline: EXCLUSIVE: Federal raid resurrects questions about company's business dealings in Sudan, Syria and Iran
- Legal Monitor Worldwide (Jordan), MEDIA Article, Headline: SHAREHOLDER ALERT: Lundin Law PC Announces Securities Class Action Lawsuit against Caterpillar Inc. and Encourages Investors with Losses to Contact the Firm
- Peoria Journal Star, The (IL), MEDIA Article, Headline: Cat backs Trump tax reform effort  
At White House event, company pledges support for grass-roots campaign
- Oman Observer (Jordan), MEDIA Article, Headline: Caterpillar, a Trump favourite, under pressure
- Caterpillar NI Limited Website, Source provides the address of Caterpillar NI Limited, <https://www.fgwilson.com/contactUs>
- National Iranian Oil Engineering and Construction Company Website, Source provides alias and relationship of Caterpillar NI Limited with National Iranian Oil Engineering and Construction Company, <http://www.nioec.org/AnalyticsReports/A.V.L%20BASED%20ON%20PRODUCTS.pdf>
- Caterpillar NI Limited Website, Source provides aliases of Caterpillar NI Limited, [https://www.fgwilson.com/about\\_us/history](https://www.fgwilson.com/about_us/history)

Consistent with the terms and conditions of your RDC Subscriber Agreement, the information contained in this document is for alert purposes only and is not to be used for purposes of determining an individual's eligibility for any credit or any other FCRA permissible purposes. User further agrees to hold such material and information in strictest confidence, not to make use thereof other than for authorized purposes, to relate it only to employees requiring such information, and not to release or disclose it to any other party. The Alert information contained in this document may or may not pertain to the individual or entity referenced in your inquiry.

Page 7 of 8