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**From:** Prachi Pawa [REDACTED]  
**Sent:** 10/12/2016 10:50:51 AM  
**To:** Cynthia Rodriguez [REDACTED]  
**CC:** PWMUS AMLKYC [REDACTED]; PWM BIS-Research [REDACTED]  
**Subject:** RDC ALERT - Richard Kahn and PCR ALERT - Darren Indyke  
**Attachments:** Darren Indyke PCR ALERT\_1.pdf; Darren Indyke PCR ALERT.pdf; Richard Kahn RDC ALERT.pdf

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:  
Forward explanation of whether the alert pertains to your client directly to compliance.  
Receive confirmation from compliance that alert can be removed from the queue.  
Include copies of all responses along with your KYC

Kind regards,  
Prachi Pawa



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