



DB12000P - Deutsche Bank Private Bank

Batch Date: 10/12/2016

Added to Monitoring: 10/12/2016

Alert

Alert Date:

10/12/2016

Person Name: Richard Kahn

Date of Birth:

Address: Country:United States

Tracking ID: GCIS 00000483290

Reporting ID: KYC 01651789

Alerted Entity # 2 of 4

Alert ID: 6471205.11593658.61546436

#### Entity Information

Entity ID:

Riskid:

Entity Name: RICHARD JEFFREY KAHN

Address: Florida, UNITED STATES

Recent Event(s): • REG - Regulatory Action / FIM - Fine - More than \$10,000, 06/21/2011

This entity appears on the Florida Office of Financial Regulation list of Final Orders. Richard J. Kahn shall pay an administrative fine of \$20,000 with the submission of this Stipulation and Consent Agreement, 06/21/2011, US Florida Office of Financial Regulation Final Orders

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#### Alert Notes

Notes: Richard Jeffrey Kahn, of Florida, appears on Florida Office of Financial Regulation list of Final Orders.

#### Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=6e6eb2a5d59448e75d3f3d53eb346cc4>

Source Name: RiskConnect, 10/11/2016

Entity URL: <https://real.flofr.com/Documents/View.aspx?docGuid=5F712B04-5DC0-466E-A0C2-17F882707D5D&docFileName=0154-sr-5-11.pdf>

Sources: US Florida Office of Financial Regulation Final Orders, US Florida Office of Financial Regulation Final Orders, <https://real.flofr.com/ConsumerServices/SearchLegalDocuments/LDSearch.aspx#/searchLegalDocuments>

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