
From: Ariane Dwyer [REDACTED]
Sent: 1/28/2016 8:08:02 AM
To: Daniel Sabba [REDACTED]; Vahe Stepanian [REDACTED]
Subject: FW: Gratitude KYC [I]

Per Fran's email below we now have two options:

1. Sent docs to be reviewed by AML Compliance. I'm not sure why it is a "high risk", but it could be because of JE?
2. Complete reputational risk document with Paul given that JE founded the foundation per the Articles of Incorporation and my call with Rich

Let me know what you think.

Best,
Ari

From: Fran M Wickman
Sent: Wednesday, January 27, 2016 3:04 PM
To: Ariane Dwyer; MO CIP
Subject: RE: Gratitude KYC [I]

Classification: **For internal use only**

Ari,

This is a high risk account (see page 10) and therefore, will be reviewed by AML Compliance. Please contact them with any further questions.

Kind regards,
Fran Wickman



Fran Wickman
Middle Office Operations

Deutsche Bank Securities Inc.
Deutsche Bank Wealth Management



Passion to Perform

From: Ariane Dwyer
Sent: Wednesday, January 27, 2016 3:00 PM
To: MO CIP
Subject: Gratitude KYC