

**From:** Sagar-A Valecha [REDACTED]  
**Sent:** 2/13/2015 7:38:08 AM  
**To:** Jay Lipman [REDACTED]; Sowmya-D R [REDACTED]  
**CC:** corporate chasing [REDACTED]; GCO Account-Opening [REDACTED]; Prabhuling-C Kottalagi [REDACTED]; Sanjay Mehta [REDACTED]; Kumar Sambhav [REDACTED]; Vahe Stepanian [REDACTED]; Nitesh Gupta [REDACTED]  
**Subject:** RE: \*\*\*Southern Financial LLC\*\*\* EDI - [REDACTED]\*sgr\*\* [1]

Classification: **For internal use only**

Hi Jay/Sowmya,

After making a search with the entity name in db car we see the below address is reflecting as per the screen shot.

Kindly advise.

**Master KYC Profile**  
Southern Financial LLC

Global Risk Rating: **High**  
SRC Nexus: **No**

Address	Summary	Industry Classifications	Client Letters	MFD Categorisation
Street Address Line 1	6100 Red Hook Quarter B3			State
Street Address Line 2				City
Street Address Line 3				Country
Street Address Line 4				Postal Code
				St Thomas
				VI
				00802

Entity Information | Business Relationship | Related Parties | KYC Questions | History | CA Case History

Entity Information

Adoption Location	Business Line	KYC Status	AML Escalation	Conditional Approval Status	Risk Rating	Last Review Date	Next Review Date	Conditional Approval Expiry Date
US	Global Markets	Completed	true		High	16 Jan 2015	16 Jan 2016	

Displaying records

Thanks

**From:** Jay Lipman  
**Sent:** 13 February 2015 17:51  
**To:** Sowmya-D R; Nitesh Gupta  
**Cc:** Sagar-A Valecha; corporate chasing; GCO Account-Opening; Prabhuling-C Kottalagi; Sanjay Mehta; Kumar Sambhav; Vahe Stepanian  
**Subject:** RE: \*\*\*Southern Financial LLC\*\*\* EDI - [REDACTED]\*sgr\*\*

Hi,

I need a response here urgently. Please respond ASAP.

**From:** Sowmya-D R  
**Sent:** Thursday, February 12, 2015 4:00 PM  
**To:** Nitesh Gupta  
**Cc:** Sagar-A Valecha; corporate chasing; GCO Account-Opening; Jay Lipman; Prabhuling-C Kottalagi; Sanjay Mehta; Kumar Sambhav  
**Subject:** Fw: \*\*\*Southern Financial LLC\*\*\* EDI - [REDACTED]\*sgr\*\*