

From: Jay Lipman [REDACTED]
Sent: 2/13/2015 12:55:07 PM
To: Sowmya-D R [REDACTED]; Sagar-A Valecha [REDACTED]; ODC-Equities Account-Maintenance [REDACTED]
CC: GCO Account-Opening [REDACTED]; Kumar Sambhav [REDACTED]; Nitesh Gupta [REDACTED]; Prabhuling-C Kottalagi [REDACTED]; Sagar-A Valecha [REDACTED]; Sanjay Mehta [REDACTED]; Smita-K Hegde [REDACTED]; Vahe Stepanian [REDACTED]
Subject: RE: **VERY URGENT** Re: FW: ***Southern Financial LLC*** EDI - 127608**sgr** [I]

Hi,

Has this been done? We really need to get this out to the client in the next hour. Thanks.

Jay Lipman
 Key Client Partners
 Deutsche Bank Securities Inc
 [REDACTED]

From: Sowmya-D R
Sent: Friday, February 13, 2015 9:49 AM
To: Sagar-A Valecha; ODC-Equities Account-Maintenance
Cc: GCO Account-Opening; Jay Lipman; Kumar Sambhav; Nitesh Gupta; Prabhuling-C Kottalagi; Sagar-A Valecha; Sanjay Mehta; Smita-K Hegde; Vahe Stepanian
Subject: **VERY URGENT** Re: FW: ***Southern Financial LLC*** EDI - 127608**sgr** [I]

Classification: For internal use only

Hi ODC,

Please change the Domicile of the fund with EDI # [REDACTED] to "Virgin Island" as it reflects in DB CAR. Please find s/s below.

Kindly treat this as very **URGENT** issue as client needs the corrected doc in next 2 hours.

Master KYC Profile Print

Southern Financial LLC

Global Risk Rating: **High**

SRC Nexus: **No**

Address	Summary	Industry Classifications	Client Letters	MFD Categorisation
Street Address Line 1	6100 Red Hook Quarter B3			State
Street Address Line 2				City St Thomas
Street Address Line 3				Country VI
Street Address Line 4				Postal Code 00902

Entity Information | Business Relationship | Related Parties | KYC Questions | History | CA Case History

* Entity Information

Adoption Location	Business Line	KYC Status	AML Escalation	Conditional Approval Status	Risk Rating	Last Review Date	Next Review Date	Conditional Approval Expiry Date
US	Global Markets	Completed	true		High	15 Jan 2015	15 Jan 2016	

Displaying records

Thanks & Regards,