



PCS High Risk Media Communication Sheet

I - Account Number(s) [Redacted]

Type of Account Change: Address of Record Telephone Number E-mail

Type of Asset Movement: USD WIRE NON-USD WIRE ACH CHECK JOURNAL
 DTC/EUROCLEAR Reg E Wire (If marked, complete and attach additional documentation required.)

Standing Instructions (select one): Initial Setup Pre-Established

II - DocuSign (all amounts) - Proceed to Section IV once complete

I processed the request via the Knowledge Based Authentication (KBA)
 I recognized Client's voice and verbally provided the Access Code via outbound call to a phone number on file
(Complete the details of the outbound call below.)

III - Non-DocuSign—Verification of Contact with Client—By signing below, I am certifying the following:

I recognized the Client's voice based on prior conversations, OR
 I confirmed accurate responses to three authentication questions

Method of Callback

Oral communication is not required (for pre-established standing instructions or same name internal asset transfers)
 I verbally confirmed (via inbound or outbound call for asset movement < \$50K – or for pre-established standing instructions)
 I performed Callback (for account changes, ALL international/non-USD/FX requests, initial standing instructions or asset movements ≥ \$50K)
 If asset movement > \$1MM, Callback and due diligence performed by a person not on the Client's sales team.
Signature of person performing authentication is below.

IV - I confirm

– Signer(s) is/are authorized to act on account – I have completed signature verification (non-DocuSign only)
– I have reviewed this transaction for consistency with Client's historical/expected account activity – Indemnification language is on file (non-DocuSign only)

V - Additional information

Asset movement amount: \$75,000

Darren Indyke
Name of the person(s) spoken with

[Redacted]
or
outbound call (DocuSign only)

11:37am 1/20/2017
Date and time of Callback on
inbound/outbound call

By filling out and submitting the form in NetX, I have completed the required due diligence stated above. If Callback was performed by someone other than person entering into NetX, signature and name must be completed below.

[Signature]
Signature of Employee Completing Authentication

Value Spanian
Print name

1/20/2017
Date

Branch Management Approval is evidenced in NetX in accordance with HRM Policy.

BSO Approval (if necessary) is evidenced in NetX in accordance with HRM Policy.



*The term "Client" refers to the account holder or any individual who has been authorized by Client to transfer assets or provide change of contact information. Please refer to the High Risk Media Policy-WM, US-PCS for more information:
https://dbpolicyportal.intranet.db.com/gpag/pdf/0901e5be802f12d6/filename_is_null.pdf

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