

Last Modified Date 5/28/2013

Southern Financial, LLC

KYC Party Contact **Southern Financial LLC**

Role **Account Holder**

Please Describe

Last Modified Date 5/28/2013

Southern Financial, LLC

KYC Party Contact **Southern Trust Company, Inc.**

Role **Significant Shareholder(>=25%) Non-PIC Entity (Indicate ownership %)**

Please Describe **Southern Trust is the sole member of Southern Financial and Jeffrey Epstein is the sole shareholder of Southern Trust**

Last Modified Date 8/14/2013

Southern Financial, LLC

KYC Party Contact **Southern Trust Company, Inc.**

Role **Significant Shareholder(>=25%) Non-PIC Entity (Indicate ownership %)**

Please Describe **Southern Trust is the sole member of Southern Financial and Jeffrey Epstein is the sole shareholder of Southern Trust**

Last Modified Date 8/14/2013

Case History

8/21/2013 11:31 AM

User **Janice Franklin**

Action **Changed Case Owner from Compliance KYC to Approved KYC.**

8/21/2013 11:31 AM

User **Janice Franklin**

Action **Changed Compliance Approver to Janice Franklin. Changed Status from 5. Ready for Compliance Approval to 6. Approved.**

8/20/2013 2:59 PM

User **Armen Brash**

Action **Changed Owner (Assignment) from AML & Business Risk to Compliance KYC. Changed Reason for Rejection (If Applicable). Changed AML & Business Risk Approver to Armen Brash. Changed Status from 4. Ready for AML & Bus. Risk Approval to 5. Ready for Compliance Approval.**

8/20/2013 9:36 AM

User **Armen Brash**

Action **Changed Reason for Rejection (If Applicable).**

8/15/2013 1:59 PM

User **Amanda Kirby**

Action **Changed Case Owner from Amanda Kirby to AML & Business Risk.**

8/15/2013 1:59 PM

User **Amanda Kirby**

Action **Changed Status from Rejected to 4. Ready for AML & Bus. Risk Approval.**

8/14/2013 4:44 PM

User **Armen Brash**

Action **Changed Case Owner from AML & Business Risk to Amanda Kirby.**