

**A. Type of Photo ID Provided**

A. U.S. Drivers License; Passport

If Other, Type of  
Photo ID Provided**B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached**

B. Yes

**C. Please indicate the results of the database searches performed**

RDC searches complete	Yes - Negative Results Found	PCR checks complete	Yes - Negative Results Found
OFAC checks complete	Yes - No Negative Results Found	BIS searches complete	Yes - No Negative Results Found
Denial Orders checks complete	Yes - No Negative Results Found	Martindale-Hubbell searched	Not Applicable

**D. Please summarize any negative results from the database searches indicated above**

D. DARREN INDYKE:  
Approved in KYC [REDACTED]

HARRY BELLER:  
Approved in KYC [REDACTED]

JEANNE BRENNAN:  
Jeanne was previously approved in KYC [REDACTED]

JEFFREY EPSTEIN:  
RDC Alert #1 -- Cleared & APPROVED by Chip Packard, AML Compliance, and Pat Harris  
PCR Alert #1/2 -- Not our client  
All Cases Closed

SOUTHERN FINANCIAL LLC:  
No negative media  
No court cases

SOUTHERN TRUST INC:  
No negative media  
Court Case -- Not our client.

FINANCIAL INFOMATICS INC:  
No negative media  
No court cases

J. Epstein & Co.  
All Negative Media is our client. He has been cleared of posing a reputational risk as shown by the AML Clearance attached  
Court Cases have been closed

FINANCIAL TRUST & CO  
RDC/PCR alert is associated with Southern Financial, the company it merged into. This alert has been cleared by Compliance and is attached  
Court Cases are closed

**E. Has the client or related party ever been convicted of a criminal offense?**

E. Yes