

F. Has the client or related party ever been involved in any past or threatened litigation against Deutsche Bank AG or any of its subsidiaries?

F. No

G. To the best of your knowledge, does the customer have any financial association/interaction, e.g. maintain homes or companies, etc., within the countries and regions, or is the customer associated with the regimes or organizations that..

G. No

H. Does the client or related party have any financial or other associations/interactions within countries that pose a risk of money laundering?

H. No

I. Entity Documentation Attached

I. Yes

J. Intermediary form approved by AML compliance? (intermediaries only)

J. Not Applicable

K. If any documentation is not in English, please provide an English summary or translation

Summary

Approvals

Primary Officer/RM Approver	Paul Morris	Primary Officer/RM Approval Date	2/24/2014
AM Approver (Int'l Only)		AM Approval Date (Int'l Only)	
Office Dir./Bus. Head/GMTH/SMTH Approver	Brian M. Biggar	Office Dir./Bus. Head/GMTH/SMTH Date	2/24/2014
Regional Office Director Approver		Regional Office Director Approved Date	
AML & Business Risk Approver		AML & Business Risk Approved Date	
AML & Business Risk Approver II		AML & Business Risk Approved Date II	
Compliance Approver	Gwen Hill	Compliance Approved Date	3/28/2014
Compliance Approver II	Janice Franklin	Compliance Approved Date II	3/28/2014
***	REMINDER--You must check the active assignment box below to route to the office director		

Disregard the below fields

Contact Name	Jeffrey Epstein	Priority	High
Subject		Description	