
From: Daniel Sabba [REDACTED]
Sent: 11/16/2016 8:49:46 AM
To: Zbynek Kozelsky [REDACTED]
Subject: FW: High Risk KYC Request: Southern Financial, LLC - CRDS ID: 1007406812 [I]

Classification: **For internal use only**

From: Todd Stevens
Sent: Wednesday, November 16, 2016 8:14 AM
To: Vahe Stepanian
Cc: Darlene Zong; Daniel Sabba
Subject: RE: High Risk KYC Request: Southern Financial, LLC - CRDS ID: 1007406812 [I]

Classification: **For internal use only**

proceed

From: Vahe Stepanian
Sent: Tuesday, November 15, 2016 4:55 PM
To: Todd Stevens
Cc: Darlene Zong; Daniel Sabba
Subject: RE: High Risk KYC Request: Southern Financial, LLC - CRDS ID: 1007406812 [I]

Classification: **For internal use only**

Todd – Daniel recommended that I follow up the chain below indicating to GM that we’re off-boarding Southern Financial. Ok to proceed?

Thank you,
Vahe

From: Vahe Stepanian
Sent: Monday, November 14, 2016 10:32 AM
To: Todd Stevens; Daniel Sabba; Stewart Oldfield
Cc: Darlene Zong
Subject: RE: High Risk KYC Request: Southern Financial, LLC - CRDS ID: 1007406812 [I]

Classification: **For internal use only**

Ok, relayed that timeline to Michael and let him know we’ll follow up once we have an update.

From: Todd Stevens
Sent: Monday, November 14, 2016 8:49 AM
To: Vahe Stepanian; Daniel Sabba; Stewart Oldfield
Cc: Darlene Zong
Subject: RE: High Risk KYC Request: Southern Financial, LLC - CRDS ID: 1007406812 [I]

Classification: **For internal use only**

Tell them we are discussing internally this week. We need 2 more weeks.