



Master KYC Profile

Print

Southern Financial LLC

Global Risk Rating

High

SRC Nexus

No

Address	Summary	Industry Classifications	Client Letters	MFC Categorisation
Street Address Line 1	6100 Red Hook Quarter B3			State
Street Address Line 2				City
Street Address Line 3				Country
Street Address Line 4				Postal Code
				St Thomas
				VI
				00802



Entity Information Business Relationship Related Parties KYC Questions History CA Case History

Entity Information

Displaying records

Adoption Location	Business Line	KYC Status	AML Escalation	Conditional Approval Status	Risk Rating	Last Review Date	Next Review Date	Conditional Approval Expiry Date
US	Global Markets	Completed	True		High	18 Jan 2015	16 Jan 2016	

Thanks

From: Jay Lipman
Sent: 13 February 2015 17:51
To: Sowmya-D R; Nitesh Gupta
Cc: Sagar-A Valecha; corporate chasing; GCO Account-Opening; Prabhuling-C Kottalagi; Sanjay Mehta; Kumar Sambhav; Vahe Stepanian
Subject: RE: ***Southern Financial LLC*** EDI - [REDACTED]*sgr**

Hi,

I need a response here urgently. Please respond ASAP.

From: Sowmya-D R
Sent: Thursday, February 12, 2015 4:00 PM
To: Nitesh Gupta
Cc: Sagar-A Valecha; corporate chasing; GCO Account-Opening; Jay Lipman; Prabhuling-C Kottalagi; Sanjay Mehta; Kumar Sambhav
Subject: Fw: ***Southern Financial LLC*** EDI - [REDACTED]*sgr**

Classification: Public

Hi Nitesh,

Need your urgent attention on the below as client is expecting amended confirmation ASAP.

Thanks & Regards,

Soumya D R
 Derivative Documentation
 DBOI Global Services Pvt Ltd,

Phone: [REDACTED]
 Email: [REDACTED]

Alternative contact Anil-S Kumar ([REDACTED]) Ph: [REDACTED] Or Ambaji Mane ([REDACTED])

ph: + [REDACTED]
 For any escalation please contact : [REDACTED] Ph: [REDACTED]

Group ID : [REDACTED]

----- Forwarded by [REDACTED] on 02/13/2015 02:29 AM -----

From: [REDACTED]