

From: Sowmya-D R
Sent: Friday, February 13, 2015 9:49 AM
To: Sagar-A Valecha; ODC-Equities Account-Maintenance
Cc: GCO Account-Opening; Jay Lipman; Kumar Sambhav; Nitesh Gupta; Prabhuling-C Kottalagi; Sagar-A Valecha; Sanjay Mehta; Smita-K Hegde; Vahe Stepanian
Subject: **VERY URGENT**Re: FW: ***Southern Financial LLC*** EDI - 127608**sgr** [I]

Classification: For internal use only

Hi ODC,

Please change the Domicile of the fund with EDI #127608 to "Virgin Island" as it reflects in DB CAR. Please find s/s below.

Kindly treat this as very **URGENT** issue as client needs the corrected doc in next 2 hours.

Master KYC Profile Print

Southern Financial LLC

Global Risk Rating
High

SRC Nexus
No

Address	Summary	Industry Classifications	Client Letters	MFD Categorisation
Street Address Line 1	6100 Red Hook Quarter B3			State
Street Address Line 2				City St Thomas
Street Address Line 3				Country VI
Street Address Line 4				Postal Code 00802

Entity Information | Business Relationship | Related Parties | KYC Questions | History | CA Case History

Entity Information

Adoption Location	Business Line	KYC Status	AML Escalation	Conditional Approval Status	Risk Rating	Last Review Date	Next Review Date	Conditional Approval Expiry Date
US	Global Markets	Completed	true		High	16 Jan 2015	16 Jan 2016	

Displaying records

Thanks & Regards,

Soumya D R
 Derivative Documentation
 DBOI Global Services Pvt Ltd,
 Phone: [REDACTED]
 Email: [REDACTED]
 Alternative contact Anil-S Kumar ([REDACTED]) Ph: [REDACTED] Or Ambaji Mane ([REDACTED])
 ph : + 44 207 541 1121
 For any escalation please contact : [REDACTED] Ph: [REDACTED]
 Group ID : [REDACTED]

▽ Sagar-A Valecha---02/13/2015 08:16:37 PM---Classification: For internal use only Hi ODC/Smita,

From: Sagar-A Valecha [REDACTED]
 To: ODC-Equities Account-Maintenance, [REDACTED], Smita-K Hegde/ext/dbcom@DBEMEA,
 Cc: Jay Lipman, [REDACTED], Sowmya-D R, [REDACTED], GCO Account-Opening, [REDACTED], Kumar Sambhav, [REDACTED], Nitesh Gupta, [REDACTED], Prabhuling-C Kottalagi, [REDACTED], Sagar-A Valecha, [REDACTED], Sanjay Mehta, [REDACTED], Vahe Stepanian, [REDACTED]
 Date: 02/13/2015 08:16 PM
 FW: ***Southern Financial LLC*** EDI - 127608**sgr** [I]