
From: Roddy Moore [REDACTED]
Sent: 9/2/2015 2:56:34 PM
To: Daniel Sabba [REDACTED]; Vahe Stepanian [REDACTED]; Ariane Dwyer [REDACTED]
CC: Troy-D Williams [REDACTED]; Nicholas Haigh [REDACTED]
Subject: Southern Financial Collateral Acct [I]

Classification: **For internal use only**

All of the facts should be widely known at this point. CRM doesn't set up accts. If it isn't KCP, then it's someone who KCP is working with to get the accts set up. They weren't set up properly from the beginning, because the back office hasn't been provided what they need to do so. This is ultimately KCP's responsibility. CRM has nothing to do with onboarding. There have been numerous emails on this on which your team has been included.

From: Daniel Sabba
Sent: Wednesday, September 02, 2015 2:50 PM
To: Roddy Moore; Vahe Stepanian; Ariane Dwyer
Cc: Troy-D Williams
Subject: RE: URGENT: Collateral Admin - N4G026161 [I]

Classification: **For internal use only**

I am still gathering the facts here, but who set up these collateral accounts initially? Was it CRM or KCP?

And why weren't they set up correctly from the beginning?

From: Roddy Moore
Sent: Wednesday, September 02, 2015 2:40 PM
To: Vahe Stepanian; Ariane Dwyer
Cc: Troy-D Williams; Daniel Sabba
Subject: RE: URGENT: Collateral Admin - N4G026161 [I]

Classification: **For internal use only**

If she is out, then why can't you take care of it? The collateral still isn't being monitored properly. Given what happened last week, I'd hope that we'd want to spare your team from having to go back to the client with a call that contradicts his exposure report.

From: Vahe Stepanian
Sent: Wednesday, September 02, 2015 2:37 PM
To: Roddy Moore; Ariane Dwyer
Cc: Troy-D Williams; Daniel Sabba
Subject: RE: URGENT: Collateral Admin - N4G026161 [I]

Classification: **For internal use only**

Roddy – Ari is out of the office today. She will follow up with you tomorrow morning.

Thank you,
Vahe

From: Roddy Moore
Sent: Wednesday, September 02, 2015 2:33 PM
To: Ariane Dwyer