
From: Vahe Stepanian [REDACTED]
Sent: 8/28/2015 2:23:12 PM
To: Roddy Moore [REDACTED]; Ariane Dwyer [REDACTED]
CC: Daniel Sabba [REDACTED]; Troy-D Williams [REDACTED]
Subject: RE: Southern Financial [I]

Classification: **For internal use only**

Ok, Daniel will reach out to him upon his return the following week.
I'll be on my MTA.

From: Roddy Moore
Sent: Friday, August 28, 2015 2:04 PM
To: Vahe Stepanian; Ariane Dwyer
Cc: Daniel Sabba; Troy-D Williams
Subject: RE: Southern Financial [I]

Classification: **For internal use only**

Note that Troy is out next week.

From: Vahe Stepanian
Sent: Friday, August 28, 2015 1:46 PM
To: Roddy Moore; Ariane Dwyer
Cc: Daniel Sabba; Troy-D Williams
Subject: RE: Southern Financial [I]

Classification: **For internal use only**

Roddy – the below is part of a broader conversation that needs to take place upon Daniel's return.
Please send us a few times that you and Troy are available to meet with Daniel and I next week.

Thank you,
Vahe

From: Roddy Moore
Sent: Friday, August 28, 2015 1:29 PM
To: Ariane Dwyer
Cc: Daniel Sabba; Vahe Stepanian; Troy-D Williams
Subject: RE: Southern Financial [I]

Classification: **For internal use only**

The market has corrected the issue of the client being over 50% on his AAPL if that's what you're worried about. I just want to fix the problem with the acct set up. Why are we waiting on Daniel to provide the KYC and SIC code? It seems like something that he'd delegate to you anyway.

From: Ariane Dwyer
Sent: Friday, August 28, 2015 12:52 PM
To: Roddy Moore
Cc: Daniel Sabba; Vahe Stepanian; Troy-D Williams
Subject: RE: Southern Financial [I]