
From: Paul Morris [REDACTED]
Sent: 10/7/2015 1:03:30 PM
To: Vahe Stepanian [REDACTED]; Jj Litchford [REDACTED]
CC: Ariane Dwyer [REDACTED]
Subject: RE: SFL and Gratitude America Ltd [C] [I]

This is just simple brokerage yes? Not all the extra KCP letters etc correct?

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:59 PM Eastern Standard Time
To: Paul Morris; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Classification: **For internal use only**

Rich specifically asked to open another brokerage account, and he understood that there is paperwork involved in doing so. Is there an alternative you have in mind?

Thank you,
Vahe

From: Paul Morris
Sent: Wednesday, October 07, 2015 12:55 PM
To: Vahe Stepanian; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C]

Vahe do we really need a full KCP account for this?

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:51 PM Eastern Standard Time
To: Jj Litchford
Cc: Ariane Dwyer; Paul Morris
Subject: FW: SFL and Gratitude America Ltd [C]

Classification: Confidential

JJ - Rich Kahn just called us back. He would like to proceed with opening a Pershing account on the KCP desk for Gratitude to hold the GILD stock.

Can you please send over all of the relevant KYC docs you have on your end as it relates to this particular entity?

We would like to take a look before we send Rich a list of documentation required to open the new acct.

Thank you,
Vahe

-----Original Message-----