

Tri party Agent N

Classification SIC Code 6159

Classification Bundesbank Sector Code S126

### Business Relationship

Status	Adoption Location	BR Name	Business Unit Name	Adoption Entity	View BR	Participant Role	Adoption Date
Inactive	United Kingdom	Southern Financial, LLC_Markets_NSP	Markets	DB AG (London)	1007494283	Principal	26/07/2017
Inactive	United States	Southern Financial, LLC_Markets_NSP	Markets	DBSI	1007494283	Principal	20/01/2015
Active	United Kingdom	Southern Financial LLC_Markets_NSP	Markets	DB AG (London)	1010240825	Agent	15/07/2016
Inactive	United States	Southern Financial, Llc AC ORDER_Markets_NSP	Markets	DBSI	1014449003	Principal	08/12/2017

### Related Parties

Folder ID	Entity ID	Entity Type	Entity Name
MASTER-57623		Ultimate Beneficiary Owners	Jeffrey Edward Epstein
MASTER-57623		Legal Representative	Jeffrey Edward Epstein

### Entity Information

Eligible For Deferral	Deferral Flag	Deferral Expiration Date	Migration Date	Deferral Activation Date	Last Review Date	Next Review Date	KYC Status	Conditional Approval Status	Adoption Location	Calculation Reason	Risk Rating	Eligible for Deferral expiration Date	Conditional Approval Expiry Date	AFC Escalation
Yes		01/01/1970	03/09/2018	01/01/1970	20180517	20190517	Completed		DE		High	03/09/2019	01/01/1970	
No	No	01/01/1970	01/1970	01/01/1970	20180517	20190517	Completed		GB	Global Standard	HIGH	01/01/1970	01/01/1970	true
		01/01/1970	01/1970	01/01/1970	20150116	20160116	Completed		US		High	01/01/1970	01/01/1970	true